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## U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES US7000732001 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. § 371** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 31 July 2003 31 July 2002 PCT/US2003/024065 TITLE OF INVENTION POLYMORPHISMS FOR PREDICTING DISEASE AND TREATMENT OUTCOME APPLICANT(S) FOR DO/EO/US Heinz-Josef LENZ, Jan STOEHLMACHER and David (Jong-Han) PARK Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. × This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (21) indicated below. The US has been elected (PCT Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) × is attached hereto (required only if not communicated by the International Bureau). a. b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). × C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). П is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). are attached hereto (required only if not communicated by the International Bureau). a. b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. П have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 10. (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🔲 13. 🔲 A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16 🔲 A power of attorney and/or change of address letter.

The collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published international application under 35 U.S.C. 154(d)(4).

Other items or information: Postcard receipt

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. ATTORNEY'S DOCKET NUMBER: INTERNATIONAL APPLICATION NO. US7000732001 PCT/US2003/024065 Applicant use Office use only The following fees are submitted: 21. \$300 × a) Basic national fee ......\$300.00 \$200 X b) Examination fee \$200.00 \$500 × c) Search fee ......\$500.00 \$1000 TOTAL OF ABOVE CALCULATIONS = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE Total Sheets Extra Sheets thereof (round up to a whole number) \$0 0/50 = 0 x \$250.00 59-100 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months \$130 from the earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE 11 - 20 = n x \$50.00 \$0 Total claims ō Independent claims 3 - 3 = x \$200.00 \$0 \$0 + \$300.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$1130 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$565 by 1/2. \$565 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than \$0 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$565 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$565 Amount to be refunded: Amount to be charged: a. A check in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No. 50-2518 (our ref. US7000732001) in the amount of \$565.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 50-2518 (our ref. US7000732001). A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Antoinette F. Konski Bingham McCutchen LLP Three Embarcadero Center, 18th Floor San Francisco, California 94111-4067 ANTOINETTE F. KONSKI Telephone: (650) 849-4950 NAME Facsimile: (650) 849-4800 34.202 REGISTRATION NUMBER CERTIFICATE OF MAILING BY "EXPRESS MAIL" Express Mail Label No.: EV 313 812 023 US/ Date of Deposit: 27 January 2005 I hereby certify that this paper or fee is being deposited with the United States Postal Service the date indicated above and is addressed to: Mail Stop PCT, Atth. RO/Us Commissioner f "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FORM PTO-1390 (REV. 12-2004)